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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-0453

First Named Inventor : Tomoyuki OKADA et al.

Title: INFORMATION RECORDING MEDIUM, APPARATUS AND  
METHOD OF RECORDING OR REPRODUCING INFORMATION TO OR  
FROM THE MEDIUM

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Small Entity Status is hereby asserted.
3. ☒ Specification (In Japanese Language) [Total Pages: 51]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Sequence Listing, a table, or a computer program listing appendix.
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 31]
5. ☒ Oath or Declaration [Total Pages: 3]
- a.1. ☐ Newly executed (original or copy)
- a.2. ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet (see 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
[ ] Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
[ ] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically  
itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other Cover Letter Accompanying Application Filed Without  
Executed Declaration Under 37 CFR 1.53(b) and in a Language Other  
Than English Under 37 CFR 1.52(d)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet:[ ] Continuation [ ] Divisional ☒ Continuation-in-part (CIP) of prior application No. 09/443,498

Prior Application Information: Examiner Group Art Unit 2712

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By

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April 18, 2001

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11033 U.S. PTO  
09/836292  
04/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Tomoyuki OKADA et al :  
Serial No. NEW : Attn: Application Branch  
Filed April 18, 2001 : Attorney Docket No. 2001-0453

INFORMATION RECORDING MEDIUM,  
APPARATUS AND METHOD OF RECORDING  
OR REPRODUCING INFORMATION TO OR  
FROM THE MEDIUM  
(Rule 1.53(b) Continuation-In-Part  
of Serial No. 09/443,498,  
Filed November 19, 1999)

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents.  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$710.00 to cover Patent Office fees relating to filing the following attached papers:

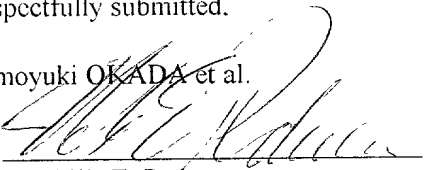
Rule 53(b) application . . . . . \$710.00

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*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Tomoyuki OKADA et al.

By   
Nils E. Pedersen  
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Attorney for Applicants

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[Check No. 440116]

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